PTO/SB/21 (05-03)

Approved for use through 04/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/476,189 TRANSMITTAL Filing Date January 3, 2000 **FORM** First Named Inventor Biao Sun (to be used for all correspondence after initial filing) Art Unit 2653 Technology Center 2600 **Examiner Name** Mark S. Blouin otal Number of Pages in This Submission 15 Attorney Docket Number RDRT 1016-1 ENCLOSURES (check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communication to Group Appeal Communication to Board . Fee Attached Licensing-related Papers of Appeals and Interferences Appeal Communication to Group Amendment/Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final **Proprietary Information** Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Terminal Disclaimer Return Postcard Extension of Time Request Request for Refund Other Enclosure(s) (please identify below): CD, Number of CD(s) Express Abandonment Request The Commissioner is authorized to charge any additional fees to Deposit Information Disclosure Statement Remarks Account 50-0869. Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Havnes Beffel & Wolfeld LLP Bill Kennedy Reg. No. 33,407 Individual Ry, No. 33,407 Signature Date 05 April 2004

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. on the date shown below.

Typed or printed Pabla Faulk Hurley

Signature Date 05 April 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing the burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

OTAL AMOUNT OF PAYMENT	(\$)	582	

	Complete if Known	
Application Number	09/476,189	
Filing Date	January 3, 2000	
First Named Inventor	Biao Sun	RECEIVED
Examiner Name	Mark S. Blouin	APR 1'3 2004
Art Unit	2653	``` \
Attorney Docket No.	RDRT 1016-1	Technology Center 260

WETHOD OF FATMENT (Check all that apply)	2 45	DITIONAL	EEE	· LL O	ALCOLATION (continued)	
Check Credit Card Money Order Other None	J. ADI	DITIONAL	rees 			
Deposit Account:	Large	Entity	Small			
Deposit Account 50-0869	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Number 50-0009	1051	130	2051	65	Surcharge - late filing fee or oath	
Deposit	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
Account Haynes Beffel & Wofeld LLP	1053	130	1053	130	Non-English specification	
Name	1812	~2,520	1812	2,520	For filing a request for reexamination	
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge any additional fee(s) or any underpayment of fee(s)	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
Charge fee(s) indicated below, except for the filing fee	1251	110	2251	55	Extension for reply within first month	
to the above-identified deposit account. FEE CALCULATION		420	2252	210	Extension for reply within second month	
	1253	950	2253	475	Extension for reply within third month	
Large Entity Small Entity	1254	1,480	2254	740	Extension for reply within fourth month	
Fee Fee Fee Description Fee Paid	1255	2,010	2255	1,005	Extension for reply within fifth month	
Code (\$) Code (\$)	1401	330	2401	165	Notice of Appeal	
1001 770 2001 385 Utility filing fee	1402	330	2402	165	Filing a brief in support of an appeal	
1002 340 2002 170 Design filing fee	1403	290	2403	145	Request for oral hearing	
1003 530 2003 265 Plant filing fee 1004 770 2004 385 Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1005 160 2005 80 Provisional filing fee	1452	110	2452	55	Petition to revive – unavoidable	
	1453 .	1,330	2453	655	Petition to revive – unintentional	
SUBTOTAL (1) (\$)	1501	1,330	2501	655	Utility issue fee (or reissue)	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE		480	2502	240	Design issue fee	
	1503	640	2503	320	Plant issue fee	
Fees from Extra Claims below Fee Paid	1460	130	1460	130	Petitions to the Commissioner	
Total Claims 21 + 18 ×18 = 324	1807	50	1807	50	Petitions related to provisional applications	
Already paid	1806	180	1806	180	Submission of Information Disclosure Stmt	
Independent Claims 4 + 3 X86 = 258 Already paid	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
Multiple Dependent	1809	770	2809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
Large Entity Small Entity Fee Fee Fee Fee Foo Foodstates	1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
Code (\$) Code (\$) 1202 18 2202 9 Claims in excess of 20	1801	770	2801	385	Request for Continued Examination (RCE)	
1201 86 2201 43 Independent claims in excess of 3 1203 290 2203 145 Multiple dependent claim, if not paid	1802	900	1802	900	Request for expedited examination of a design application	
1204 86 2204 43 ** Reissue independent claims over original patent	Other fee (specify)					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)					
SUBTOTAL (2) (\$) 582	L					
**or number previously paid, if greater; For Reissues, see above						

SUBMITTED BY					omplete (if applicable)	
Name (Print/Type)	Bill Rennedy	Registration No. (Attorney/Agent)	33,407	Telephone	650-712-0340	
Signature	TILL BULL	1 11 77 1	_	Date	05 April 2004	
		Ray No. 33, 40	<i>Ŧ</i>	·		

by the public which is to 14 fee by w 2010 to procein an explication. Confidentially is governed by 38 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, propering, and submitting the mid use 10 GFR. The USETO. This will keep by the including case. Any comments on the smooth of the your expert to complete this form and/or suggestions for two reducing this business to the Collection of the Collection